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Lisa A. Miller

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Application Number 10/796,800

Filling Date March 9, 2004

First Named Inventor Nobushige ICHIKAWA

Art Unit 3749

Examiner Name Gregory A. WILSON

0388-043647

Attorney Docket Number

ENCLOSURES (Check all that apply)								
<u>\</u>		smittal Form		Drawing(s) Licensing-related Papers			After Allowance Communication to TC Appeal Communication to Board of Appeals and Interferences	
Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement				Petition Petition to Convert to a Provisional Application Power of Attorney, Revoca Change of Correspondence Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on	e Address	Chec	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): ck Amount \$180.00	
Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53								
		SIGNA	TURE	OF APPLICANT, ATT	ORNEY, C	RAG	ENT	
Firm N	lame	The Webb Law Firm	-			-		
Signat	Signature							
Printed	Printed name Thomas C. Wolski							
Date May 18, 2007				Reg. No.	55,739			
CERTIFICATE OF TRANSMISSION/MAILING								
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:								
Signat	Signature Xwa A Miller							

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

May 18, 2007



Application No. 10/796,800 IDS Dated: May 18, 2007 Attorney Docket No. 0388-043647

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

10/796,800

Confirmation No.

3160

Applicant

Nobushige ICHIKAWA et al.

Filed

:

March 9, 2004

MAY 2 1 2007

Title

Work-Vehicle Cabin Having Air-Conditioning Unit

Group Art Unit

3749

Examiner

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28289

:

Gregory A. Wilson

Customer No.

:

MAIL STOP: ISSUE FEE Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the requirements of 37 C.F.R. §§ 1.56, 1.97, and 1.98, Applicants hereby submit this Information Disclosure Statement ("IDS"), a completed Form PTO/SB/08a and one copy of each Japanese reference identified therein. Applicants respectfully request that this IDS and the documents listed below and identified in Form PTO/SB/08a be made of record.

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Lisa A. Miller

(Name of Person Mailing Pape

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PTO/SB/17 (07-06) Approved for use through 01/31/2007. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number ETHADE! Effective on 12/08/2004 Complete if Known Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). 10/796,800 **Application Number** FEE TRANSMITT Filing Date March 9, 2004 For FY 2006 First Named Inventor Nobushige ICHIKAWA **Examiner Name** Gregory A. WILSON Applicant claims small entity status. See 37 CFR 1.27 Art Unit 3749 TOTAL AMOUNT OF PAYMENT 180.00 Attorney Docket No. 0388-043647 METHOD OF PAYMENT (check all that apply) Check Credit Card Money Order None Other (please identify): Deposit Account Deposit Account Number: 23-0650 Deposit Account Name: The Webb Law Firm For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES** SEARCH FEES **EXAMINATION FEES Small Entity Small Entity Small Entity Application Type** Fee (\$) Fee (\$) Fees Paid (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Utility 300 150 500 250 200 100 Design 200 100 100 50 130 65 Plant 200 100 300 160 150 80 300 Reissue 150 500 250 600 300 200 Provisional 100 0 0 0 2. EXCESS CLAIM FEES **Small Entity** Fee (\$) **Fee Description** Fee (\$) Each claim over 20 (including Reissues) 50 25 200 100 Each independent claim over 3 (including Reissues) 180 Multiple dependent claims 360 **Total Claims** Extra Claims Multiple Dependent Claims Fee (\$) Fee Paid (\$)

20 or HP =	= x	=			Fee (\$)	Fee Paid (\$)
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specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$) (round up to a whole number) x - 100 = /50 =

180.00

4. OTHER FEE(S)

Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): Late Information Disclosure Statement

SUBMITTED BY		
Signature	Registration No. (Attorney/Agent) 55,739	Telephone 412-471-8815
Name (Print/Type)	Thomas C. Wolski	Date May 18, 2007

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

This IDS is being submitted before mailing the payment for the issue fee. Each item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. Specifically, each item of information contained herein was first cited in Office Actions relating to Japanese patent applications (JP 2003-16775; JP 2003-167776; and JP 2003-188003) from which the instant application's priority is claimed that were at the earliest received on or about April 26, 2007.

Each item of information contained in this IDS is a foreign patent or patent application. A concise explanation of each reference's relevance in accordance with 37 C.F.R. § 1.98 (a)(3)(i) as presently understood by individuals designated under 37 C.F.R. § 1.56(c) who are most knowledge about the content of each item identified therein; or partial English translations in accordance with 37 C.F.R. § 1.98 (a)(3)(ii) that are within the possession, custody or control of, or that are readily available to any individual designated under 37 C.F.R. § 1.56(c) for the instant application are provided with this IDS.

JP 2002-61936 is relevant because it discloses an opening closing member [23], which is shown in FIG 1.

JP 7-40912 is relevant because it discloses a lower connecting flange [13].

JP 58-100108 is relevant because it discloses a car with an air conditioner [1] with a heater core [2]. It further discloses a shutter [9] for switching between internal air and external air for intake by the air conditioner [1]. The shutter [9] has a one way valve member [92] of an elastic material such as rubber that allows air to escape when the internal pressure is applied to the valve member [92].

JP 52-22899 is relevant because it discloses detent mechanisms (FIGs 1-4).

JP 55-19241 is relevant because it discloses a detent mechanism (FIG 1).

JP 55-165321 is relevant because it discloses detent mechanisms (FIGs 1 and 2).

JP 2002-347666 is relevant because it discloses eaves in the rear portion of the roof (FIGs 2 and 9). It further discloses a rear window [23] that can be opened by pivoting about a pivot [P3] (FIG 9). The window may have hinges [22] (FIG 2).

Application No. 10/796,800 IDS Dated: May 18, 2007

Attorney Docket No. 0388-043647

JP 58-54466 is relevant because it discloses eaves that may extend over an opened front windshield [4a].

JP 2003-49449 is relevant because it discloses lamps on the right and left part of the roof.

All of the translations within the possession, custody or control of, or readily available to individuals designed under 37 C.F.R. § 1.56(c) for the instant application have been enclosed. The enclosed translations are partial translations of JP **2**-148816, JP 2000-175543, and JP 2001-39153, which also serve as concise explanations of the relevance of these references.

Pursuant to 37 C.F.R. §§ 1.97(c) and 1.17(p), a fee of \$180.00 is enclosed for the submission of this IDS, as it is being submitted after the mailing date of a Notice of Allowance under § 1.311 and before the mailing date of the payment of the issue fee. The Commissioner for Patents is hereby authorized to charge any fees which may be required to Deposit Account No. 23-0650. Please refund any overpayment to Deposit Account No. 23-0650. One (1) original and two (2) copies of this IDS are enclosed.

The Applicants submit that they have complied with the requirements set forth in 37 C.F.R. §§§ 1.56, 1.97 and 1.98, and therefore respectfully request that this IDS and the accompanying references be considered and made of record.

Respectfully submitted,

THE WEBB LAW FIRM

By

Thomas C. Wolski Registration No. 55,739 Attorney for Applicants 700 Koppers Building 436 Seventh Avenue

Pittsburgh, PA 15219-1818 Telephone: 412-471-8815 Facsimile: 412-471-4094

E-mail: webblaw@webblaw.com

PTO/SB/08a (07-05)

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Substitute for form 1449A/PTO				Complete if Known		
INFORM	ATION D		· · · · ·	Application Number	10/796,800	
	IATION D			Filing Date	March 9, 2004	
STATEMENT BY APPLICANT				First Named Inventor	Nobushige ICHIKAWA	
(Use	as many sheets as	necessary)		Art Unit	3749	
(,		Examiner Name	Gregory A. WILSON	
Sheet 1 of 1		Attorney Docket Number	0388-043647			

U.S. PATENT DOCUMENTS								
Examiner Initials*	No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		Number - Kind Code ^{2 (if known)}						
		US-						
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		FOREIGN	PATENT DO	CUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Té
·	1	JP 52-22899			or voice and right of the	
	2	JP 55-19241				
	3	JP 55-165321				
	4	JP 58-54466	04-13-1983			
	5	JP 58-100108	07-07-1983			
	6	JP 2 -148816	12-18-1990		Partial Translation	Х
	7	JP 7-40912	09-20-1995			
	8	JP 2000-175543	06-27-2000		Partial Translation	Х
	9	JP 2001-39153	02-13-2001		Partial Translation	Х
	10	JP 2002-61936	02-28-2002			
	11	JP 2002-347666	12-04-2002			
	12	JP 2003-49449	02-21-2003	·		
			·			

Examiner	Date	
Signature	Considered	

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.